

FORM PTO-1390
(REV 5-93)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE ATTORNEY'S DOCKET NUMBER

09/011745

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 C.F.R.1.5)

09/011,745

INTERNATIONAL APPLICATION NO.

PCT/GB96/02061

INTERNATIONAL FILING DATE

23 August 1996

PRIORITY DATE CLAIMED

23 August 1995

TITLE OF INVENTION

EXPRESSION SYSTEMS

APPLICANT(S) FOR DO/EO/US

COLLINS, Mary Katherine Levinge et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A **FIRST** preliminary amendment.
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:
 1. Verified Statement (Declaration) Supporting Another's Claim for Small Entity Status [37 CFR 1.9(f) and 1.27(d)] - Small Business Concern
 2. Verified Statement (Declaration) Claiming Small Entity Status [37 CFR 1.9(f) and 1.27(b)] - Independent Inventor(s)
 3. Certificate of Mailing by Express Mail

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.50) 09/011,745		INTERNATIONAL APPLICATION NO. PCT/GB96/02061		ATTORNEY'S DOCKET NUMBER	
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<p>17. <input type="checkbox"/> The following fees are submitted:</p> <p style="margin-left: 20px;">Basic National Fee (37 CFR 1.492(a)(1)-(5)):</p> <p style="margin-left: 20px;">Search Report has been prepared by the EPO or JPO..... \$830.00</p> <p style="margin-left: 20px;">International preliminary examination fee paid to USPTO (37 CFR 1.482)..... \$640.00</p> <p style="margin-left: 20px;">No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)).. \$710.00</p> <p style="margin-left: 20px;">Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO..... \$950.00</p> <p style="margin-left: 20px;">International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)..... \$90.00</p> <p style="text-align: center;">ENTER APPROPRIATE BASIC FEE AMOUNT =</p> <p>Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).</p> <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th style="width:20%;">Claims</th> <th style="width:20%;">Number Filed</th> <th style="width:20%;">Number Extra</th> <th style="width:20%;">Rate</th> <th style="width:20%;"></th> </tr> <tr> <td>Total Claims</td> <td style="text-align: center;">- 20 -</td> <td></td> <td>X \$22.00</td> <td>\$</td> </tr> <tr> <td>Independent Claims</td> <td style="text-align: center;">- 3 -</td> <td></td> <td>X \$74.00</td> <td>\$</td> </tr> <tr> <td colspan="3">Multiple dependent claims(s) (if applicable)</td> <td>+ \$230.00</td> <td>\$</td> </tr> </table> <p style="text-align: center;">TOTAL OF ABOVE CALCULATIONS =</p> <p>Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).</p> <p style="text-align: right;">SUBTOTAL =</p> <p>Processing fee of \$130.00 for furnishing the English translation later the <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).</p> <p style="text-align: right;">TOTAL NATIONAL FEE =</p> <p>Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +</p> <p style="text-align: right;">TOTAL FEES ENCLOSED =</p> <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:70%;"></td> <td style="width:15%; text-align: center;">0</td> <td style="width:15%; text-align: center;">00**</td> </tr> <tr> <td></td> <td style="text-align: center;">Amount to be:</td> <td></td> </tr> <tr> <td></td> <td style="text-align: center;">refunded</td> <td style="text-align: center;">\$</td> </tr> <tr> <td></td> <td style="text-align: center;">charged</td> <td style="text-align: center;">\$</td> </tr> </table>	Claims	Number Filed	Number Extra	Rate		Total Claims	- 20 -		X \$22.00	\$	Independent Claims	- 3 -		X \$74.00	\$	Multiple dependent claims(s) (if applicable)			+ \$230.00	\$		0	00**		Amount to be:			refunded	\$		charged	\$	<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th style="width:50%;">CALCULATIONS</th> <th style="width:50%;">PTO USE ONLY</th> </tr> <tr> <td style="height: 150px;"></td> <td></td> </tr> </table>	CALCULATIONS	PTO USE ONLY		
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a. ☐ A check in the amount of \$_____ to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. _____ in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-1406. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

** The basic national fee was submitted to the U.S. Patent and Trademark Office on February 23, 1998.

<p>SEND ALL CORRESPONDENCE TO:</p> <p>Patrick J. HAGAN DANN, DORFMAN, HERRELL AND SKILLMAN 1601 Market Street Suite 720 Philadelphia, Pennsylvania 19103-2307</p>	<p style="text-align: center;"><i>Patrick J. Hagan</i></p> <p>SIGNATURE</p> <p style="text-align: center;">Patrick J. Hagan</p> <hr/> <p>NAME</p> <p style="text-align: center;">27,643</p> <hr/> <p>REGISTRATION NUMBER</p>
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Telephone (215) 563-4100 · Facsimile (215) 563-4044

CB

**CERTIFICATE OF MAILING
BY EXPRESS MAIL UNDER 37 CFR §1.10**

NUMBER OF EXPRESS MAIL MAILING LABEL EL076462745US
DATE OF DEPOSIT WITH POSTAL SERVICE June 22, 1998

I hereby certify that this paper or fee is being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 C.F.R. §1.10 on the date indicated above, and is addressed to the COMMISSIONER OF PATENTS AND TRADEMARKS, Washington, D.C. 20231.

Tina M. Doyle
Typed Name of Person
Mailing Paper or Fee

Tina M. Doyle
Signature of Person Mailing
Paper or Fee

Re: International Application No. PCT/GB96/02061
International Filing Date: 23 August 1996
Priority Date: 23 August 1995
Applicant: COLLINS, Mary Katherine Levinge et al.

Enclosures:

- Transmittal Letter to the United States Designated/
Elected Office (DO/EO/US) Concerning a Filing Under
35 U.S.C. 371
- Declaration, Power of Attorney and Power to Inspect
- Verified Statement (Declaration) Supporting Another's
Claim for Small Entity Status [37 CFR 1.9(f) and 1.27(d)
- Small Business Concern
- Verified Statement (Declaration) Claiming Small Entity
Status [37 CFR 1.9(f) and 1.27 (b)] - Independent
Inventor(s)